

# Journal of Hospital Infection

## Adverse events in Faecal Microbiota Transplant (FMT) a review of the literature --Manuscript Draft--

<b>Manuscript Number:</b>	
<b>Full Title:</b>	Adverse events in Faecal Microbiota Transplant (FMT) a review of the literature
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<b>Article Type:</b>	Review
<b>Suggested Reviewers:</b>	Alisdair MacConnachie Gartnavel General Hospital alisdair.macconnachie@ggc.scot.nhs.uk
<b>Additional Information:</b>	
<b>Question</b>	<b>Response</b>
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Dr Melissa Baxter and Dr Alaric Colville

24<sup>th</sup> July 2015

Adverse events in Faecal Microbiota Transplant (FMT) a review of the literature

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Running title: Adverse events in faecal transplant.

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## Background

1  
2 Faecal microbiota transplant (FMT) is the infusion of donor faeces into the gut aiming to  
3  
4 improve microbial diversity. The procedure has gained significant interest recently in the  
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6 treatment of recurrent *Clostridium difficile* infection (CDI). The literature is currently  
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8 dominated by small case series and isolated case reports. There is no standardisation of  
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10 methods and recording of outcomes.  
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## Aim

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22 The aim of this review is to present the adverse events that have been associated with the use  
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24 of FMT as reported in the English literature to date.  
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## Methods

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34 A database search of Medline and Embase identified publications where FMT has been  
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36 administered. Review articles were excluded. 109 publications were identified that describing  
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38 the use of FMT in 1555 individuals.  
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## Findings

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49 Other than three small randomised controlled studies, the data consisted of small series and  
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51 case reports. CDI was the most common indication for FMT (n=1190) with the majority of  
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53 the remaining cases receiving FMT for inflammatory bowel disease. Its use had also been  
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55 applied to irritable bowel syndrome, metabolic syndrome and constipation in small numbers.  
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1 Adverse events appear to be uncommon, often mild and self-limiting; however serious  
2 adverse events including bacteraemia, perforations and death have been reported.  
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## 8 Conclusion 9

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11 We find the vast majority of adverse events to be mild, self-limiting and gastrointestinal in  
12 nature. In some a credible association is not established, due to the lack of controlled data.  
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14 There is a need for standardised, randomised controlled trials both to qualify and quantify the  
15 risks associated with FMT.  
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26 Keywords: Faecal microbiota transplant, complications, adverse events, *Clostridium difficile*  
27 infection, inflammatory bowel disease.  
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# Adverse events in Faecal Microbiota Transplant (FMT) a review of the literature

## Background

The recurrence rate of a conventionally treated first episode of *Clostridium difficile* infection (CDI), with vancomycin or metronidazole is up to 30%.<sup>(1,2)</sup> Should relapse occur the risk of further recurrence then rises to 40-50%.<sup>(3)</sup> In addition CDI has increased in both incidence and severity globally.<sup>(4,5)</sup>

The suboptimal nature of CDI management has driven the search for alternative therapies. This includes the licensing of the macrolide fidaxomicin, found in phase 3 clinical trials to be non-inferior to vancomycin for acute diarrhea but with a 45% relative reduction in recurrence rates, possibly due to less disruption of the intestinal microbiome.<sup>(5)</sup> Faecal microbiota transplant (FMT) that is, the infusion of donor stool into the gut with the aim of improving microbial diversity, has also been the subject of significant interest in recent years. FMT has successfully been used in the treatment of recurrent *Clostridium difficile* infection (CDI).<sup>(1,2)</sup>

Faecal microbiota transplant was first described in 1958 as a treatment of “Staphylococcal pseudomembranous enterocolitis”.<sup>(6)</sup> In the last 5 years there has been an explosion of interest (Figure 1), and it has become an accepted treatment option for CDI. There is also interest in the application of FMT in a variety of other conditions including inflammatory bowel disease.<sup>(7)</sup>

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3 The literature is currently dominated by small case series and isolated case reports. There is  
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5 no standardisation of methods and recording of outcomes. There has only been one  
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7 randomised control trial of FMT in the treatment of CDI to date <sup>(8)</sup> finding FMT superior to  
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9 vancomycin in efficacy. The emergent body of published evidence finds FMT efficacious  
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11 with low rates of adverse events <sup>(4,7)</sup> but these are as yet to be adequately quantified. The aim  
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13 of this review is to present the adverse events as reported in the English literature to date.  
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## Materials and Methods

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5 Medline and Embase were individually searched using the terms f(a)ecal transplant, f(a)ecal  
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7 transplantation, f(a)eces transplant, f(a)eces transplantation, f(a)ecal bacteriotherapy,  
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9 f(a)ecal microbiota transplant, f(a)ecal microbiota transplantation, f(a)ecal microbiota  
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11 transplanted, f(a)ecal bacteria therapy, stool infusion, stool infused, f(a)eces infused, f(a)eces  
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13 infusion, f(a)ecal infusion, f(a)ecal infused, f(a)eces within 2 words of infusion or infused,  
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15 f(a)eces enema, f(a)ecal enema (Figure 2). This yielded 5579 citations for which the abstracts  
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17 and keywords were searched for terms pertaining to faecal transplant. After duplicates were  
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19 removed 383 publications relevant to faecal microbiota FMT were identified. Papers and  
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21 abstracts that described first-hand experience of the use of faecal transplant were identified  
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23 for inclusion, and review and discussion articles were excluded. After further removal of  
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25 papers which essentially described previously published cohorts of cases, 109 publications  
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27 were identified that described the use and outcomes of FMT. Three further publications were  
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29 identified from trawling bibliographies. All published papers were obtained in full.  
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## Results

The 109 publications described the use of FMT in 1555 patients. This included individual cases, uncontrolled series and three randomised controlled trials. For 1190 individuals the indication was given as *Clostridium difficile* infection (Table I).

### Randomised Control Trials

There have been three published RCTs using FMT to date. <sup>(8-10)</sup> A double blinded RCT assessing FMT as an intervention for metabolic syndrome <sup>(9)</sup> randomised patients to duodenal infusion of autologous faeces (n=9) or donor faeces (n=9) and observed no adverse events in either arm. A trial in Ulcerative Colitis (UC) randomised patients to receive donor faeces (n=27) or placebo (water) (n=26) as 50ml retention enemas once weekly for 6 weeks <sup>(10)</sup> and also reported no adverse events. The only RCT to date assessing FMT specifically for CDI<sup>(8)</sup> involved randomising participants to one of three therapies 1) vancomycin followed by bowel lavage and subsequent infusion of donor faeces via nasoduodenal tube (n=16). 2) Vancomycin treatment for 14 days with bowel lavage on day 4-5 (n=13) or 3) Vancomycin for 14 days alone (n=13). The adverse events from this study are listed in Table II.

### Non-controlled studies

#### FMT to treat *Clostridium difficile* (n=1174)

The reports on 1174 patients describe FMT primarily for CDI without controls. Publications comprised 30 single case reports <sup>(11-40)</sup> and case series <sup>(1,3,41-83)</sup> ranging from 2 cases <sup>(41,43,44,46,48)</sup> to the largest series of 146 cases. <sup>(68)</sup> In 32 cases undergoing FMT primarily for CDI inflammatory bowel disease was also present. <sup>(15,21,25,29,32,36,38,44,45,56,63,80)</sup>

## Modes of delivery

Methods of administration were specified in 946 cases, comprising nasogastric tube (n=133); (1,15,21,23,37,42,49,65,79,80) naso-jejunal / nasoduodenal tube (n=2); (20,48) Gastroscopy/ endoscopy/ enteroscopy (n=64); (28,35,40,50,62,65,73) rectal enema (n=156) (11-13,24,41,57,72,77,79) which was repeated again 3 days later in once case; (11) Colonoscopy (n=530). (1,3,14,16-19,22,25-27,29,32,38,43-48,50,51,54,56,58,60,62,63,66-69,80-82) Simultaneous jejunal (via enteroscopy) and colonoscopic infusion was administered in 40 cases. (53,55,71) Two case series comprising six (59) and seven cases (52) described patients receiving faecal enema at home, self-administered or by a family member. One patient had both enteroscopic and colonoscopic FMT. (62) FMT instilled through a previously placed gastric tube was described in 5 cases. (31,65) One patient refusing invasive procedures had FMT administered trans-rectally over 10 minutes having had the solution drawn and instilled into 20 5ml syringes. (33) Another underwent FMT twice in 10 days firstly via an 'intestinal tube' and then by colonoscopy. (39) Only in one paper was FMT administered intentionally to manage both for CDI and concurrent inflammatory bowel disease, Crohn's (n=7) and Ulcerative Colitis (UC) (n=6). (84)

In 132 of 1190 CDI cases, one or more FMT additional procedures were administered. (1,8,27,32,42,48,50,54,56,59-61,63,64,66-68,72,75-78,82) One patient was finally successfully treated with a bacterial suspension enema following failed enema of faeces. (41)

## Adverse events as a result of FMT given for *C.difficile* infection

### Procedural Complications

In only four cases was an adverse event directly attributable to the FMT procedure itself. These comprised: ‘microperforation’, described as following biopsy of an area of ‘presumed ischaemic small bowel injury’ during the FMT procedure. This resolved with conservative management. <sup>(63)</sup> Caecal perforation at FMT necessitating colectomy. <sup>(64)</sup> ‘Minor mucosal tear’ during colonoscopy to deliver FMT. <sup>(51)</sup> One FMT procedure was abandoned after the discovery of chronic graft versus host disease of the terminal ileum in a stem cell transplant recipient patient that had been persistently PCR positive for the *C.difficile* toxin gene. <sup>(78)</sup>

### Infective Complications

Norovirus transmission possibly associated with FMT has been reported in 2 cases <sup>(46)</sup> both cases testing positive for norovirus by PCR. One presented day 2 post FMT with diarrhoea but the donor was asymptomatic and tested negative and the second case presented with vomiting and diarrhoea 12 days post FMT, again with an asymptomatic donor who was not tested.

A patient diagnosed with influenza B 3 days post FMT was not thought to be infected through the FMT as the donor remained asymptomatic throughout the 12 week follow up period. <sup>(76)</sup> Gram negative bacteraemia occurred in four cases after FMT. <sup>(28,31,38,85)</sup> two of whom died as a result of the procedure. <sup>(31,85)</sup> *Escherichia coli* bacteraemia occurred 24 hours after colonoscopic FMT in a 61 year old man with concomitant Crohn’s disease and diverticulitis who had had 6 prior *Escherichia coli* bacteraemias in the preceding 3 and half years – leading the authors to postulate that altered intestinal permeability was the cause. The

1 case responded to antibiotics. <sup>(38)</sup> Another case involving *Serratia spp* bacteraemia occurred  
2 12 days following jejunal- placed FMT – the patient dying more than 30 days after the  
3 procedure from recurrent ventilator-associated pneumonia. A CT scan prior to death showed  
4 no evidence of colitis, and stool cultures remained negative for culture and toxin 14, 20 and  
5 30 days post-transplant. <sup>(28)</sup> The remaining two cases were bacteraemia are described in the  
6 section on deaths associated with FMT.  
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## 17 **Inflammatory Complications**

### 18 **Fever of unknown cause**

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Fever in the absence of an identified infectious agent was reported in 11 cases, <sup>(1,69,71,73,76)</sup>  
with only one specifying the temperature reading, at 38.8 degrees 2 days post FMT. This  
resolved spontaneously. <sup>(1)</sup> Fever was described by authors as ‘mild and transient’ in 2 cases,  
<sup>(69)</sup> ‘low grade’ in 5 (resolving spontaneously within 12-24 hours). <sup>(71)</sup> One case reported  
spontaneous resolution of fever with negative blood cultures. <sup>(73)</sup> Fever, diarrhoea,  
encephalopathy and pancytopenia 4 days post FMT were described in a patient with cirrhosis  
and non-Hodgkin’s lymphoma (the management and outcome were not discussed), <sup>(76)</sup> fever  
was also recorded post FMT in a solid organ transplant recipient. <sup>(76)</sup>

### 66 **Exacerbation of Inflammatory Bowel disease**

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In patients with concomitant inflammatory bowel disease and CDI (where FMT was  
administered primarily for CDI) clinical deterioration occurred in six cases <sup>(25,76)</sup> Four out of  
five cases in one series <sup>(76)</sup> were hospitalised with a flare of IBD within the 12 week follow  
up period. Colectomy within 1 month of FMT was necessary in one case due to worsening  
ulcerative colitis, whilst another two underwent colectomy 105 and 293 days after FMT  
respectively. A patient with UC described as ‘quiescent’ for 20 years presented 9 days post

1 colonoscopic FMT with abdominal cramping and loose bloody stools with mucus. Repeat  
2 colonoscopy showed new features consistent with UC when compared to the previous  
3 appearance on colonoscopy to deliver FMT and biopsy confirmed inflammatory colitis. (25)  
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9 In the only paper describing colonoscopic FMT for concomitant IBD (Crohns n=7 and UC  
10 n=6) and CDI omitted to mention whether any adverse events occurred, but did report that of  
11 the 11 clearing their CDI infection, 46% required escalation of their IBD management. (84)  
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### 17 18 19 20 21 **Gastrointestinal Complications**

22 Gastrointestinal side effects were the most commonly reported although terms were  
23 inconsistent and imprecise. Symptoms included flatulence (n=25); (56,77,80) diarrhoea (n=18);  
24 (51,58,75,76,80) irregular bowel movements (n=14); (56) post infectious inflammatory bowel  
25 syndrome (n=13); (52,68,74) abdominal distension/bloating (n=12); (1,71,76,80) abdominal  
26 pain/tenderness (n=11); (75,76,78,80) constipation (n=10); (14,77) cramping (n=9); (73,80) nausea  
27 (n=7) (the route of administration was not recorded); (75,76) blood in stools (n=2) (both cases  
28 had concomitant inflammatory bowel disease); (80) microscopic colitis (n=2). (68) Other  
29 miscellaneous comments included ‘intermittent obstipation’; (24) mucoid stools; (80) ‘visceral  
30 hypersensitivity’ (68) and ‘a few patients developed temporary constipation’. (72)  
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49 The outcomes of these symptoms were often not recorded but largely the terminology used to  
50 describe them gave the impression they were not deemed to be significant adverse events  
51 with descriptions as mild, (86) short lived, (80) transient (73,77) and self limiting, (8,71) though in  
52 one paper over the counter fibre preparations had been used to manage side effects. (58) There  
53 were very few descriptions of longer term symptomatic cases, these included 5 cases of post-  
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1 infectious IBS remained symptomatic at the end of the study follow up period at 16-35  
2 months <sup>(74)</sup> and constipation that persisted ‘for months’. <sup>(14)</sup>  
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### 8 **Deaths Potentially Attributable to FMT**

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12 Three deaths have been described in patients undergoing FMT. <sup>(31,76,85)</sup> One patient, after an  
13 uncomplicated FMT delivered via a pre-existing gastric tube, developed septic shock 3 days  
14 later with decompensated toxic megacolon, and blood cultures yielded *Pseudomonas*  
15 *aeruginosa*, *Escherichia coli*, *Lactobacillus casei*. The patient died of septic shock 4 days  
16 after colonic resection. The authors conclusion was that the link to FMT was unclear. <sup>(31)</sup> A  
17 second patient died of respiratory failure one day after aspirating during sedation to deliver a  
18 colonoscopic FMT. <sup>(76)</sup> We have also reported a case of regurgitation of faeculent material  
19 during endoscopic FMT under general anaesthetic followed by aspiration pneumonia and  
20 septic shock. The patient died 48 days later. <sup>(85)</sup>  
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### 36 **Other deaths in the follow up period**

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39 Other deaths which occurred in patients that had undergone FMT for CDI were reported in 41  
40 cases <sup>(1,3,49,50,54,60,63,64,68,76)</sup> summarised in Table III. Of these, seven were attributable to  
41 *C.difficile* infection. <sup>(50,60,68)</sup> In one case series 3 deaths occurred within 1.5 to 3 months of  
42 FMT all having severe diarrhoea pre-transplantation associated with the hypervirulent  
43 ribotype 027. <sup>(60)</sup> Another described 3 patients who failed to respond to FMT and died within  
44 3 weeks to 2 months of the procedure, two of whom were described as ‘seriously weakened  
45 after long lasting diarrhoeal disease’ and another died of complications related to subtotal  
46 colectomy after developing fulminant colitis. <sup>(50)</sup> Another death was attributed to complicated  
47 CDI without further detail. <sup>(68)</sup>  
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3 **Other reported events**  
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6 Events that do not fall into the above categories are tabulated in table IV. These include  
7 reports from publications listing any untoward event without necessarily ascribing them  
8 directly to the FMT procedure. Table V shows crude rates for the commonest reported  
9 symptoms and adverse events following FMT. As there is no standard for classifying and  
10 reporting these adverse events following FMT, we expect that there is likely to be significant  
11 underattainment.  
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## FMT to treat Inflammatory Bowel Disease

Excluding the RCT for UC previously discussed, <sup>(9)</sup> 226 of the 1555 ascertained underwent FMT primarily for inflammatory bowel disease. Amongst these were 159 procedures for ulcerative colitis <sup>(83,87–100)</sup> and 67 for Crohn's. <sup>(92,100–107)</sup> In addition eight patients underwent FMT for pouchitis following restorative proctocolectomy for ulcerative colitis, <sup>(108)</sup> three for mixed UC/Crohns <sup>(109)</sup> or IBD unspecified. <sup>(110)</sup> Concomitant CDI was present in 6 patients. <sup>(90,93)</sup> Publications ranged from four single case reports <sup>(99,106,107,110)</sup> to series ranging from 3 <sup>(97,109)</sup> to 62 patients. <sup>(89)</sup>

## Modes of delivery

Methods of administration of FMT in these cohorts were highly variable, including nasogastric tube (n=18); <sup>(104,108)</sup> naso-duodenal tube (n=10); <sup>(95)</sup> endoscopy (n=31) <sup>(102,106)</sup> and colonoscopy (n=31), <sup>(90,91,96,100,103,110)</sup> the latter repeated at 4 and 12 weeks for one patient. <sup>(110)</sup> Rectal enema was described using a variety of regimens in 17 cases. <sup>(94,98,109)</sup> Training in self-administration of FMT allowed one patient to complete 69 procedures in total, initially daily then weekly. <sup>(109)</sup> A plethora of other methods of administration combine different routes in largely inconsistent regimens, including 25 cases of initial colonoscopic FMT <sup>(90,93,97,105,109)</sup> and one case of initial naso-jejunal infusion <sup>(93)</sup> followed by a variable number of rectal enemas. The remaining 21 were described as 'self-administered faecal enemas in a tapered then maintenance schedule'. <sup>(90,93)</sup> Another approach involved daily administration of combined nasojejunal infusion and rectal enemas (n=5). <sup>(88)</sup> In 16 patients using home-based faecal transplant, the authors did not specify their methods further. <sup>(87)</sup> Four patients had ileo-



1 colonoscopy and biopsies followed directly instillation of FMT via NJ tube which was  
2 repeated twice the following day. <sup>(101)</sup>  
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## 8 **Adverse events of FMT given for Inflammatory Bowel Disease**

### 9 **Procedural**

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12 Inability to tolerate retention of a faecal enema with immediate leakage on 3 consecutive days  
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14 and secondly low back pain <sup>(94)</sup> were each reported, both in children. This uncommon side  
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16 effect probably resulted from the complex positioning for the procedure adopted. Patients  
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18 were rotated 180 degrees left lateral to right lateral over a 10 minute period, in the left lateral  
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20 decubitus position with elevated hips, then received four retention enemas in 60ml aliquots  
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22 every 15 minutes.  
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### 33 **Fever and raised inflammatory markers**

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36 As with CDI, fever was the most frequently encountered inflammatory adverse events in 14  
37  
38 cases, <sup>(88,91,94,100-102)</sup> this was quantified in two as greater than 38 degrees Centigrade with  
39  
40 negative blood cultures; <sup>(88)</sup> up to 39 degrees in one case, self limiting after 3 days which the  
41  
42 authors concluded this was non-significant and interpreted as an ‘immunological reaction to  
43  
44 the applied bacteria to the inflamed colon’; <sup>(91)</sup> ‘transient’ in three cases; <sup>(101)</sup> ‘mild’ in one  
45  
46 case; <sup>(100)</sup> one episode of fever was accompanied by ‘chills’ responding to acetaminophen  
47  
48 and antihistamines and did not recur with further FMTs. <sup>(94)</sup> In a further two cases of fever  
49  
50 these were considered doubtful adverse events as the patients had fever after colonoscopy  
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52 under general anaesthesia before FMT. <sup>(102)</sup>  
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1 Deterioration in IBD was also described in 6 cases; <sup>(88,90,94,96)</sup> of which one was described as  
2 ‘disabling haematochezia’ three weeks after FMT; <sup>(94)</sup> other reports include increased CRP  
3  
4 (n=6). <sup>(88,91)</sup> Only one of which was quantified at 31.5 mg/L, this patient also had a raised  
5  
6 interleukin-6. <sup>(91)</sup> Itchiness <sup>(88)</sup> and erythema <sup>(88)</sup> were described in individual cases but these  
7  
8 were not described further. A case of ‘hives’ occurred in a patient with history of medication  
9  
10 allergies during the 7 day follow up period following colonoscopic FMT of anonymous donor  
11  
12 faeces. <sup>(100)</sup>  
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## 21 **Gastrointestinal**

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24 Again gastrointestinal adverse effects predominated (Table VII) with; diarrhoea (n=28);  
25  
26 <sup>(88,91,94,100,102,104)</sup> abdominal distension/bloating (n=24); <sup>(92–94,100,104)</sup> abdominal  
27  
28 pain/cramping/tenderness (n=20); <sup>(94,100,101,104)</sup> flatulence (n=10); <sup>(88,94)</sup> vomiting (n=3); <sup>(88,104)</sup>  
29  
30 Constipation (n=5) <sup>(100)</sup> bloody stool (n=4) <sup>(94,98)</sup> (one following withdrawal of prednisolone)  
31  
32 <sup>(98)</sup> and ‘mild-moderate diarrhoea observed and self-limiting’ without specifying the number  
33  
34 affected described. <sup>(95)</sup>  
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## 41 **Other reported events**

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44 Other reported adverse events of uncertain/ if any relation to faecal transplant are shown in table VI.  
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## 50 **FMT to treat Irritable Bowel Syndrome (IBS)**

51  
52 FMT was used in attempt to treat IBS in 18 cases. <sup>(105,111)</sup> The first study <sup>(111)</sup> described a total  
53  
54 of 13 patients, 11 undergoing one FMT, one having two and one having three procedures.  
55  
56 The time course, method and necessity for further FMTs were not specified. The second case  
57  
58 series <sup>(105)</sup> involved colonoscopic infusion of faeces followed by various regimes comprising  
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1 4 enemas (n=2), 9 enemas, 4 nasojejunal infusions then 5 enemas and 5 combined  
2 nasojejunal infusions and enemas. There were no adverse events described in either study.  
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### 8 **FMT to treat Constipation**

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11  
12 FMT as a treatment for constipation has been described in 7 patients. <sup>(105,112)</sup> The route of  
13 administration was described in 4 cases and included two cases that had their FMT as a  
14 colonoscopic procedure followed by 9 enemas. One patient had 15 enemas as daily infusions,  
15 and another had a regimen that consisted of colonoscopic FMT followed by 5 combined  
16 nasojejunal infusions and enemas followed by a further 5 enemas. <sup>(105)</sup> In the remaining 3  
17 cases it was noted only that two had 5 FMTs with the method not recorded and a third had 10  
18 days of FMT infusions. <sup>(112)</sup> There were no reported adverse events in any of these studies.  
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### 33 **FMT used in other conditions**

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37 Several cases of Pseudomembranous colitis unattributed to *C.difficile* infection have been  
38 treated with FMT (n = 21). <sup>(6,113,114)</sup> However it is noteworthy that these papers were  
39 published either before <sup>(6,113)</sup> or shortly after <sup>(114)</sup> the association of *C.difficile* and  
40 pseudomembranous colitis being first reported in 1978<sup>(115)</sup>. Various methods of  
41 administration were described; ‘a long enteral tube’ in one case, <sup>(114)</sup> 15 patients had retention  
42 enemas given twice daily ‘in almost all circumstances’. <sup>(114)</sup> The remaining five were given as  
43 retention enemas once, <sup>(113,114)</sup> twice (n=2) <sup>(6)</sup> and three times (n=1). <sup>(6)</sup> Despite no directly  
44 attributable adverse events being reported, overall three patients died (duration of follow up  
45 was not recorded). <sup>(114)</sup> Of these, one had no pseudomembrane noted at autopsy, the second  
46 had no endoscopic evidence of the disease and died of pneumonia, whilst the third failed to  
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1 respond to faecal enema clinically and was found to have pseudomembranes involving most  
2 of the small bowel at autopsy. Another case series of 9 patients treated with FMT for  
3 antibiotic associated diarrhoea (not specifying whether *C.difficile* related ) <sup>(116)</sup> suffered no  
4 reported adverse events on 18 month follow- up.  
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## Discussion

We have comprehensively reviewed the available literature on FMT published before November 2014 to determine what adverse events may be encountered. The literature surrounding FMT is largely of poor quality in that it is dominated by anecdotal case reports and small series without comparators or controls. There are only three small randomised controlled trials <sup>(8-10)</sup> reporting only 52 of the 1555 patients covered by the literature.

We found that there was wide variation in all areas of methodology, such as in patient and donor selection; preparation and administration of the transplant; number of procedures per patient; follow up and reporting of outcome data. In many of the reports no information on adverse events was included. Without any standardisation or structure it is not possible to provide a robust assessment of the range and incidence of significant adverse events. In addition the follow up period was highly variable from about 1 week <sup>(100)</sup> to 15 years. <sup>(112)</sup>

FMT has been applied beyond the treatment of CDI where its use is well established, to ulcerative colitis <sup>(10,83,84,87-99)</sup> Crohn's disease <sup>(92,100-107)</sup> and mixed IBD. <sup>(109)</sup> Pioneering application in patients with constipation, <sup>(105,112)</sup> metabolic syndrome <sup>(9)</sup> and IBS <sup>(105,111)</sup> has been reported, raising questions as to the exact pathophysiology of these conditions. FMT has also been given to paediatric patients suffering CDI <sup>(17,18,21,76,80)</sup> and ulcerative colitis. <sup>(94,97,104)</sup>

Non-IBD immune-compromised patients receiving therapy range from those chronic medical conditions to solid organ (n=23) <sup>(30,35,48)</sup> and haematology transplant patients<sup>(20)</sup> have also been described. Use of FMT has been reported in four cases with HIV, <sup>(39,76)</sup> and seven patients with concomitant use of 'antineoplastic agents'. <sup>(75)</sup> In addition to these patients some of whom were severely immunocompromised individuals,

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3 FMT as a salvage therapy has also been employed in intensive care unit patients for  
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5 unresponsive fulminant CDI. (13,28,37) Comparison between patients with such a heterogeneous  
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7 range of conditions risks confounding true adverse effects with conditions that are part of the  
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9 natural progression of disease.  
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17 The literature esteems the use of FMT in CDI<sup>(2)</sup> where the FMT replenishes the defective  
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19 diverse range of non-pathogenic colonic flora that act to prevent proliferation of *Clostridium*  
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21 *difficile*.<sup>(3)</sup> Because of the extent of case reports in CDI it is possible to summarize the  
22  
23 emerging themes of adverse events. The procedure is generally safe, and side effects  
24  
25 experienced mild and self-limiting (Table V). Nevertheless there are limited reports of  
26  
27 serious adverse events, bacteraemia, inflammatory bowel disease flares, and death. Without  
28  
29 proper comparators it is not possible to be certain that these are significant risks in a  
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31 condition which itself has a high morbidity and mortality. (117)  
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41 In IBD, where the interplay of microbiota, host resistance and inflammatory mediators is  
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43 more poorly understood, (98,102) the role and outcomes of FMT is less well defined. Use in all  
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45 forms IBD is the second most commonly reported indication for FMT, though the numbers of  
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47 patients are small, 278 (17.8%). Some authors reporting successful outcomes  
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49 (89,98,99,102,103,106,107,109,110) while others are more guarded, reporting more variable results or no  
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51 discernible improvement. (10,91,93,101,104) In a relapsing and remitting condition the significance  
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53 of adverse events such as flares and deteriorations are difficult to delineate the natural history  
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55 of the disease.  
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3 The long term effects and outcomes of FMT are yet to be established. This may be of concern  
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5 as the gut microbiome becomes increasingly implicated in disease states. <sup>(35)</sup> The majority of  
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7 patients with CDI are elderly often with other serious conditions, but many other recipients of  
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9 FMT are likely to be much younger. For them the long term consequences of manipulation of  
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11 the gut microbiome must be understood. For example are anecdotal reports of changes that  
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13 have occurred after FMT. These include improvements in comorbid conditions; reversal of  
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15 immune thrombocytopenic purpura <sup>(99)</sup> and neurological symptom reversal in three patients  
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17 with multiple sclerosis. <sup>(112)</sup> In two patients, the resistant coliforms present prior to FMT were  
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19 supplanted by ciprofloxacin sensitive coliforms post FMT. <sup>(108)</sup> FMT for refractory CDI  
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21 resulted in an apparent improvement in the associated urinary organisms exhibiting  
22  
23 ‘significantly decreased drug resistance’<sup>(48)</sup> a principle further supported by two case reports  
24  
25 using FMT to decolonise patients with multi-drug resistant carbapenemase producing strains  
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27 of *Klebsiella pneumoniae*. <sup>(118,119)</sup> Others have noted improvement in pre-existing allergic  
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29 sinusitis <sup>(54)</sup> arthritis <sup>(54)</sup> In one series treating Crohns with FMT 8/11 of the patients noted  
30  
31 relief of concomitant ‘skin lesions’<sup>(102)</sup> a phenomenon also seen in another group using FMT  
32  
33 to treat UC where three cases had improvement in ‘skin problems’ as well as reduced insulin  
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35 requirements in a diabetic patient <sup>(95)</sup> Since undertaking our recent search there has also been  
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37 a case report published linking FMT to the development of obesity.<sup>(120)</sup>  
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51 On the whole adverse events largely appear to be gastrointestinal in nature, and some have  
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53 very nebulous association with the procedure. Most are mild and self-limiting, but there were  
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55 deaths directly attributable to the procedure. We noted relatively higher rates of adverse  
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1 events in the IBD groups than the CDI group which may indicate the underlying condition  
2 itself predisposing to the risk of adverse events.  
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## 8 **Limitations**

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11 We have presented those adverse events reported to date. Important limitations are  
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- 14 1. the search was limited to reports in the English language
- 15 2. every effort has been made to remove the duplicate reports but it is possible that some  
16 patients have been included in more than one publication  
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- 18 3. there is a global lack of standardisation around the practice of FMT  
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- 20 4. there is an absence of structured follow up and reporting of outcome data including  
21 adverse events  
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- 23 5. given the dominance of case reports and case series in the literature there is likely to  
24 be reporting bias that may affect the prevalence of adverse events  
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- 26 6. there is a lack of high quality randomised trials on FMT, especially for applications  
27 other than CDI  
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## Conclusion

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3 On reviewing the adverse events reported in association with FMT, we find the vast majority  
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5 are generally mild, self-limiting and gastrointestinal in nature. In some a credible association  
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7 is not established, due to the lack of controlled data. There have however been a few reports  
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9 of serious adverse events. From the limited data we have collected, it may appear that rates of  
10  
11 adverse events appear to be higher in IBD than CDI. However there is a need for  
12  
13 standardised, randomised controlled trials both to qualify and quantify the risks of faecal  
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15 transplant – which may of course change with time as the function of the microbiome is  
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17 further defined.  
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26 Potential recipients of FMT can only give informed consent if they understand the potential  
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28 for adverse outcomes. Whereas we feel this may be possible for CDI, the quality of data  
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30 available for other indications is so poor that the information required is not available.  
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37 It is important to establish standardised and where possible evidence based procedures for  
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39 FMT. These should cover both the procedural aspects of FMT, but recording of outcomes in  
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41 a standardised and comprehensive format. In view of the potential for long term effects  
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43 following a procedure such as FMT there is a need to establish national registries.  
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4 Table I  
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6 Indication for FMT  
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Indication for FMT	Number of patients (n=1555)
<i>C.difficile</i> infection	1190
Ulcerative colitis	186
Crohns disease	67
Antibiotic associated diarrhoea*	32
Pseudomembranous colitis**	21
IBS	18
Concurrent IBD/CDI	13
Metabolic syndrome	9
UC pouchitis	8
Constipation	7
IBD mixed or unspecified	4

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39 \* Unspecified whether related to CDI

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41 \*\*Not as a result of CDI but these include publications prior to or shortly after the association  
42 between *C.difficile* and pseudomembranous colitis being made  
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Table II

Adverse events reported from the randomised controlled trial for FMT in CDI

Adverse events from the RCT for FMT in CDI (8)		
Vancomycin & bowel lavage & FMT (n=16)*	Vancomycin & bowel lavage (n=13)	Vancomycin only (n=13)
Diarrhoea (n=15)	Constipation (n=2)	Death (n=1) ***
Abdominal Cramps (n=5)	Diarrhoea** (n=1)	Diarrhoea (n=1)
Belching (n=3)	'Excessive gas' (n=1)	Constipation (n=1)
Constipation (n=3)	Urinary tract infection (n=1)	Pain (RA) (n=1)
Abdominal pain (n=2)		
Nausea (n=1)		
Fever during dialysis (n=1)		
Urinary tract infection (n=1)		
Dizziness & diarrhoea (n=1)		
Cholelithiasis (n=1)		

\* Diarrhoea, abdominal pain/cramps and belching all resolved within 3 hours of FMT. Events during follow up – fever on dialysis was treated with antibiotics, blood cultures were negative, the UTI case had a history of recurrent UTIs. The case with dizziness and diarrhoea had a history of autonomic dysfunction.

\*\* Subsequently diagnosed with Crohns disease.

\*\*\* Death was deemed unrelated to study drug.

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2 Table III

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4 Deaths reported following FMT that were not attributed to FMT by the authors.  
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Cause of Death (Time after FMT)	Reference
Pulmonary disease (n=6)	(1,3,49,54,64,76)
CDI related (n=7)	(50,60,68)
Malignancy (n=5)	(1,3,54,60,63)
Co-morbid conditions unspecified/unrelated (n=17)	(50,60,68,76)
Superior mesenteric vein thrombosis (5 months)	(3)
Sepsis in a Crohn's patient (5 months)	(54)
Cerebrovascular event	(54)
Myocardial infarction	(54)
Unknown	(54)
PD peritonitis (day 3)	(49)

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2 Table IV

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4 Other reported events following FMT for CDI.  
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Adverse event (Time after FMT)	Reference
<i>Proteus spp</i> UTI (day 33)	(45)
Herpes zoster (8 weeks)	(60)
Pneumococcal meningitis	(60)
Pertussis	(76)
Line infection (n=2)	(26,39)
Fall, hip fracture	(76)
Upper GI Haemorrhage	(42)
Hip pain	(76)
Cerebrovascular accident (day 21)	(76)
Anxiety (n=6)	(75)
Oesophageal carcinoma	(1)
Fournier's gangrene	(1)

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Table V

Overall rates of the commonest adverse events with the denominator as the total number of cases receiving FMT for CDI.

Effect	Number of patients	Overall % ( <i>n</i> =1190)
Abdo distension/bloating/cramping	28	2.35%
Flatulence	25	2.1%
Diarrhoea	23	1.93%
‘irregularity of bowel movements’	14	1.18%
IBS Symptoms	13	1.09%
Constipation	13	1.09%
Abdominal pain/tenderness	11	0.92%
Fever	11	0.92%
Nausea	7	0.59%
IBD flare/deterioration	5	0.42%
Gram Negative Bacteraemia	4	0.34%
Perforation/tear	3	0.25%
Belching	3	0.25%
Attributable death*	3	0.25%
Blood in stools	2	0.17%

\* This includes a case report <sup>(85)</sup> we have published since the search – therefore this one case is not represented in the overall denominator figure (the percentage rate however remains unchanged by this addition)

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2 Table VI

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4 Other reported events following FMT for IBD.  
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8 Adverse event (Time after FMT)	9 Reference
10 Hip paraesthesia	11 (88)
12 Collapse	13 (88)
14 Blisters on tongue	15 (88)
16 Fatigue (n=3)	17 (94)
18 Cervical lymphadenopathy (post URTI)	19 (94)
20 Headache, nausea and vomiting*	21 (94)
22 Self-limiting headache	23 (97)
24 'Severe cold' (3 weeks)	25 (106)
26 'common cold' (n=3)	27 (88)
28 'mild stuffy nose/sore throat/drippy nose' (n=4)	29 (104)
30 Headache	31 (100)

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47 \* Described by the authors as baseline symptoms from concurrent medication use and not  
48 attributed to FMT.  
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2 Table VII  
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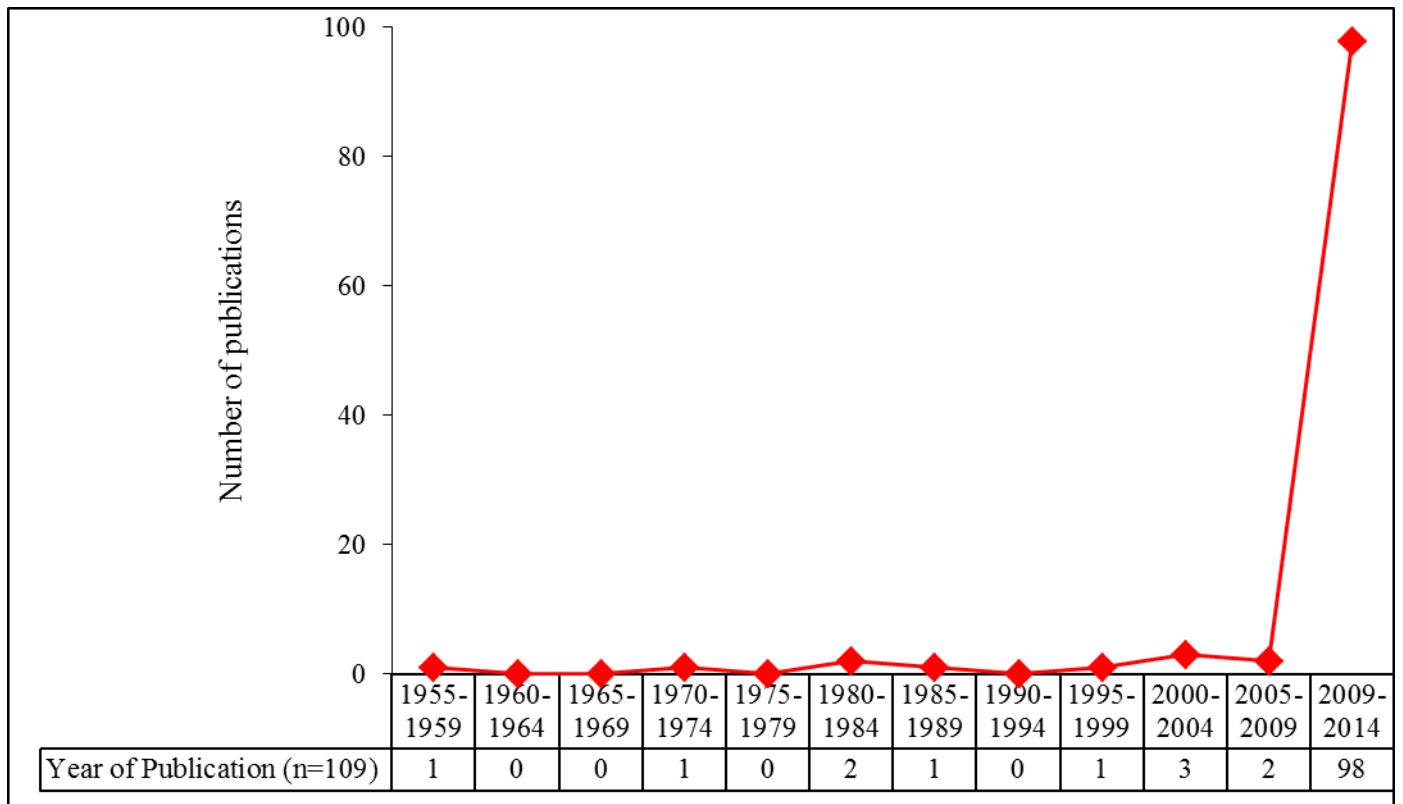
4 Overall rates of the commonest adverse events using the denominator as the total number of  
5 cases receiving FMT for IBD.  
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Effect	Number of patients	Overall % ( <i>n</i> =265)
Diarrhoea	28	10.57%
Abdominal distension/bloating	24	9.06%
Abdominal pain/cramping/tenderness	20	7.55%
Fever	14	5.28%
Flatulence	10	3.77%
Deterioration of IBD	6	2.26%
Raised CRP	6	2.26%
Constipation	5	1.88%
Blood in stools	4	1.50%
Vomiting	3	1.32%

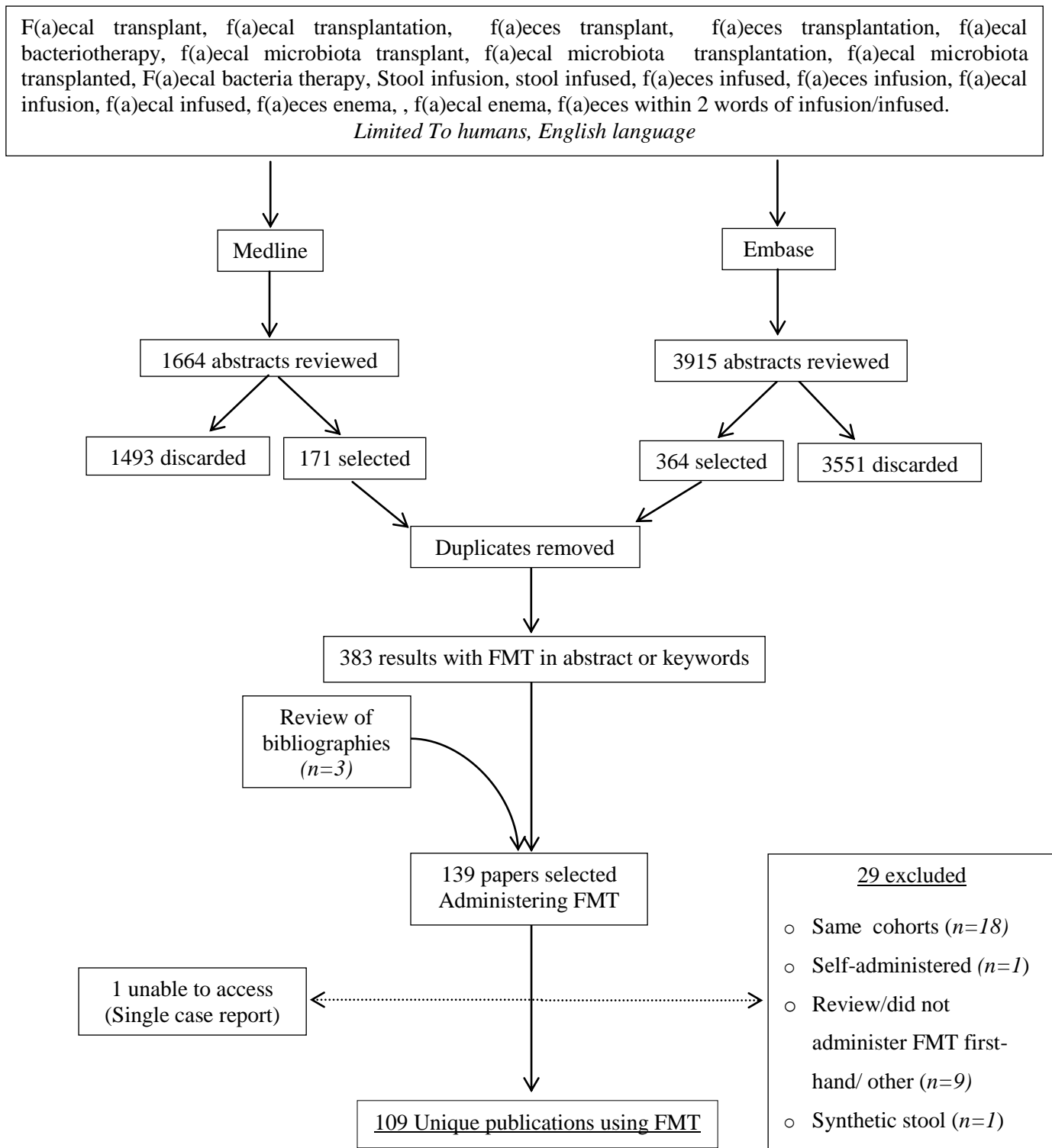
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Figure 1



Publications of FMT use arranged by year of publication from first description in 1958 to 2014, demonstrating the surge of FMT use in the preceding 5 years.

Figure 2



Overview of search strategy

